

**SOUTH CENTRAL TRANSIT AUTHORITY
(TRAMS ID# 7288)**

**Disadvantaged Business Enterprise Goal
Federal Fiscal Year 2021-2022, Federal Fiscal Year 2022-2023 and
Federal Fiscal Year 2023-2024
August 1, 2021**

INTRODUCTION:

The South Central Transit Authority (SCTA) was formed as a result of the consolidation of the management and administrative functions of the Red Rose Transit Authority (RRTA) in Lancaster County and the Berks Area Regional Transportation Authority (BARTA) in Berks County. SCTA assumed the management and administrative responsibilities for the operation of BARTA and RRTA as of January 1, 2015.

SCTA established a Disadvantaged Business Enterprise (DBE) Program in accordance with the regulations of the U.S. Department of Transportation (DOT) as found in 49 CFR Part 26. SCTA anticipates receiving federal financial assistance exceeding \$250,000 annually from the Federal Transit Administration (FTA) in the DOT during Federal Fiscal Year 2021-2022, Federal Fiscal Year 2022-2023 and Federal Fiscal Year 2023-2024. As a condition of receiving this financial assistance, SCTA will sign an assurance that it will comply with 49 CFR Part 26.

The DBE regulations require SCTA to develop and submit to the FTA every three (3) years an overall goal for participation of DBE's in FTA-assisted contracts. This goal must be based on demonstrable evidence of the availability of ready, willing and able DBE's relative to all businesses ready, willing and able to participate in the SCTA's DOT assisted contracts. The SCTA goal must reflect its determination of the level of DBE participation expected absent the effect of discrimination.

SCTA understands that it must submit to the FTA for approval any significant adjustment made to the DBE goal during the upcoming three (3) year period based on changed circumstances.

GOAL DEVELOPMENT:

SCTA's overall goal is based on demonstrable evidence of the availability of ready, willing and able DBEs relative to all businesses ready, willing and able to participate in the DOT-assisted contracts the Authority is advancing. The goal reflects the determination of the level of DBE participation the Authority would expect absent the effects of discrimination.

Based on 49 CFR Part 26 and FTA guidance, SCTA followed the eight (8) tasks of the DBE goal development methodology. The process followed in each of these eight (8) tasks is described below as well as the weighting process to help ensure that the Step One Base Figure is as accurate as possible.

- 1) **Detailed List of Contracting and Subcontracting Opportunities.**
The first step in this task SCTA identified the goods or services it anticipates

procuring through a review of its operating budget and capital improvement program. Over the next three years, SCTA is projecting no federal 5307 funds will be used to fund its operating budget.

For its capital improvement program, SCTA considered its unobligated grant balances in federal grants approved prior to FFY 2021, the capital projects proposed for funding in FFY 2021 and the capital projects programmed for funding in the FFY 2022, 2023 and 2024 Berks and Lancaster Region's Transit Transportation Improvement Program (TIP). The projects included in the TIP are based on the SCTA Long-Range Capital improvement program for Lancaster and Reading. The total federal funding to be considered as DBE potential projects from these three sources is \$3,192,106. All of this funding is 5307 funds.

The \$3,192,106 in federal funding will be used to advance the following projects:

Project	Federal Funding
Lancaster Operations Center Security Upgrade (Fence Installation)	\$ 40,000
Lancaster Operations Center Security Upgrade (Stream Crossing)	\$ 80,000
ITS Computer Software Upgrade	\$ 36,106
Diesel Fuel Tank Replacement (Construction)	\$ 720,000
Transit Development Plan Update	\$ 400,000
Replace Bus Wash	\$ 200,000
Replace Bus Radio Communications Equipment Purchase/Install	\$ 132,000
Bus Shelter Installation (Concrete Pad and Sidewalk)	\$ 104,000
Franklin Street Station Improvements (Door Replacement)	\$ 24,000
Franklin Street Station Improvements (Stone Repointing)	\$ 176,000
Reading Operations Center Bus Staging Area Paving	\$ 640,000
Shared Ride Operations Facility (Construction Management Services)	\$ 640,000
TOTAL FEDERAL FUNDING	\$3,192,106.00

SCTA has programmed \$10,318,524 in 5307 funds for the construction of a Shared Ride Operations Facility. The contract for planning, architectural and engineering services for the Shared Ride Operations Facility was executed on June 25, 2021. Task 1 of this contract will evaluate facility needs to determine the size of a facility needed. With this information, a search will occur for a vacant parcel of land to build a new building or land with a building that will likely need rehabilitated. With the scope of the construction services unclear, it is difficult to project at this time the different sub-contracting opportunities and their value that may be available. As a result, SCTA proposes to review its DBE goal for this period in 2022 and submit an update, if necessary, by August 1, 2022.

Second, the NAICS codes for the types of firms that could perform the work necessary for the projects identified above was determined using NAICS directory

information from the website, <https://www.census.gov/naics>. The NAICS codes identified are listed below:

NAICS Code	NAICS Code Description
236220	Commercial and Institutional Building Construction (Shared Ride Operations Facility Construction Management Services)
237120	Oil and Gas Pipeline and Related Structures Construction (Diesel Fuel Tank Replacement Construction)
237310	Highway, Street and Bridge Construction (Stream Crossing)
238110	Poured Concrete Foundation and Structure Contractors (Concrete Pad and Sidewalk)
238140	Masonry Contractors (Stone Repointing)
238210	Electrical Contractors (Bus Radio Purchase/Install)
238290	Other Building Equipment Contractors (Replace Bus Wash)
238350	Finish Carpentry Contractors (Door Replacement)
238990	All Other Specialty Trade Contractors (Fence Installation/ Security Gate Replacement/Paving)
541320	Urban Planning Services
541511	Custom Computer Programming Services

The project information and the applicable NAICS codes were entered under Step 1 in the Base Figure Worksheet. A copy of the worksheet is attached as Figure 1.

RRTA and BARTA are not sub-recipients to SCTA. As described in the Introduction, SCTA assumed the management and administrative responsibilities for the operation of BARTA and RRTA as of January 1, 2015. As the direct recipient of FTA funds allocated to the Berks and Lancaster Urbanized Areas, SCTA does not direct any of these funds to BARTA or RRTA. The projects listed in the above table are being advanced by SCTA to support the service operated by BARTA and RRTA.

2) **Identification of the Geographic Market Area.**

The market area for SCTA is considered to be Berks and Lancaster Counties; and the surrounding counties of York, Cumberland, Dauphin, Lebanon, Schuylkill, Lehigh, Montgomery and Chester Counties. Generally, the contractors used by SCTA to supply goods and services come from this geographic market area. An effort will be made to support the businesses from this geographic market area, particularly DBE firms and small businesses, through soliciting quotes/bids and awarding contracts. However, SCTA does not place a limit upon geographic location of businesses if they are certified by PAUCP and it is expected the public advertisement process will result in receiving bids/proposals and awarding contracts to firms outside this geographic market area. In addition, the Bidders/ Proposers' List used to notify contractors about an upcoming procurement opportunity may also include businesses outside this geographic market area if they have previously bid on a similar project.

3) **Step 1: Determining the Base Figure.**

The first activity under Step 1 is identifying the number of DBE firms available in each of the NAICS codes industry areas in the SCTA market area defined in #2 above from the PAUCP Directory.

The second activity under Step 1 is identifying the total number of businesses in each of the NAICS codes industry areas in the SCTA market area defined in #2 above. This information was obtained from the U.S. Census Bureau's 2019 County Business Patterns.

The one exception to the above approach was used for 541320 Urban Planning Services. SCTA is planning to advance an update to its Transit Development Plan (TDP). A TDP Update focuses on an analysis of current and projected demographic and service needs and goals and the development of short-term, mid-range and long-range transit service recommendations with the supporting capital investment plans that will support the identified needs and service goals. This work requires expertise in transit planning. The applicable NAICS Code for this work is 541320, "Landscape Architectural Services", which includes Urban Planning Services as a sub-category. With the expectation a significant number of firms providing Landscape Architectural Services included in this category could not provide transit planning services, a decision was made to use the TDP Proposers' List maintained by SCTA which includes one DBE PAUCP-certified firm.

A total of 71 DBE firms were identified from the PAUCP Directory and the SCTA Proposers' List as being available to provide the goods or services to SCTA from the industries identified through the effort described in Paragraph #1 above. The table below lists the NAICS codes and the number of DBE businesses in each category.

The total number of businesses in the eleven (11) NAICS codes identified is provided in the table below. The Total Number of Businesses is 4,155.

NAICS Code	NAICS Code Description	Total Number of DBE Firms	Total Number of Businesses
236220	Commercial and Institutional Building Construction (Shared Ride Operations Facility Construction Management Services)	0	561
237120	Oil and Gas Pipeline and Related Structures Construction (Diesel Fuel Tank Replacement Construction)	1	6
237310	Highway, Street and Bridge Construction (Stream Crossing)	0	111
238110	Poured Concrete Foundation and Structure Contractors (Concrete Pad and Sidewalk)	11	219
238140	Masonry Contractors (Stone Repointing)	7	401

238210	Electrical Contractors (Bus Radio Purchase/Install)	3	903
238290	Other Building Equipment Contractors (Replace Bus Wash)	0	105
238350	Finish Carpentry Contractors (Door Replacement)	0	413
238990	All Other Specialty Trade Contractors (Fence Installation/ Security Gate Replacement/Paving)	10	450
541320	Urban Planning Services	1	18
541511	Custom Computer Programming Services	38	968
TOTAL		71	4,155

The weighting process used by SCTA in Step 1 (“Determining the Base Figure”) by SCTA included the following steps:

- The development of a projection of the capital budget expenditures by NAICS code. (See Step 1 of attached “DBE Goal Calculation Weighting Worksheet” or “Worksheet”).
- The percent of total expenditures by each NAICS category (“weight”) based on the overall total of Capital Expenditures was calculated (See Worksheet Step 1).
- The relative availability of DBE firms available to perform the work in a NAICS category was calculated by dividing the number of DBE firms in each NAICS category by the number of total firms in the same NAICS category (See Worksheet Step 2).
- The Weighted Base Figure for each NAICS category was calculated by multiplying the weight based on expenditures by the relative availability (See Worksheet Step 3).
- The weighted averages calculated for each NAIC category were added together and multiplied by 100 to determine the Step One Base Figure (See Worksheet Step 3).

The results of the weighting process are presented on the attached Worksheet. Based on the above described process, the relative availability of DBE firms is 5%, which is the base figure for determining SCTA’s overall DBE goal.

4. **Sources Used to Develop Goal.**

The number of DBEs reported on the above table was determined from the current Pennsylvania Unified Certification Program Directory (PAUCP) for Berks, Chester, Cumberland, Dauphin, Lancaster, Lebanon, Lehigh, Montgomery, Schuylkill and York Counties. The one exception was the use of the SCTA Proposers’ List for NAICS category 541320. One (1) PAUCP-certified firm is included on SCTA Proposers’ List for 541320.

The Total Businesses reported on the above table were determined from the U.S. Census Bureau’s 2019 County Business Patterns for the ten (10) counties

identified in the preceding paragraph. The one exception was the use of the SCTA Proposers' List for NAICS category 541320. Eighteen (18) firms are included on SCTA Proposers' List for 541320.

5. **Step 2: Adjusting the base figure.**

Under Step 2, SCTA is required to examine all of the evidence available in its jurisdiction to determine what adjustment, if any, is needed to the base figure calculated in Step 1 to arrive at the overall goal. The first evidence SCTA considered was the current capacity of DBE's to perform work based on the volume of work DBE firms performed since FFY 2015.

SCTA began operation on January 1, 2015. The first Triennial DBE Goal for SCTA went into effect on October 1, 2015. From October 1, 2015 through the development of this documentation, SCTA has five (5) complete federal fiscal years of experience with DBE participation. In reviewing this past experience and the projected work for the next three fiscal years, in two fiscal years (FFY 2016 and FFY 2020) none of the work advanced with respect to DBE participation is applicable.

In FFY 2017, DBE participation reported for SCTA was 2.93%. However, a portion of this participation for engineering sub-contracting services to a construction contractor is not applicable to the projected work in the upcoming triennial period. The balance of the remaining work was for construction and consulting services applicable to NAICS categories 238990, 541320 and 541511. The DBE participation based on these activities is 2.63%.

In FFY 2018, DBE participation reported for SCTA was 2.55%. A sub-contract was awarded for cost estimating services that is applicable to 236220 and a construction sub-contract was awarded which is applicable to NAICS category 238990. The DBE participation based on these activities is 0.27%.

In FFY 2019, DBE participation reported for SCTA was 5.68%. The only applicable work in this fiscal year was the award of a sub-contract for cost estimating services that is applicable to 236220. The DBE participation based on these activities is 1.7%.

The table below summarizes the DBE participation discussed above. Based on the three fiscal years of participation, the median is 1.7%. Using the stipulated methodology, the median of 1.7% was added to the Step 1 Base Figure of 5% and divided by two which results in an adjusted figure of 3.4%.

FFY	Authority	DBE%
2016	SCTA	0.00%
2017	SCTA	2.63%
2018	SCTA	0.27%
2019	SCTA	1.7%
2020	SCTA	0.00%

There was no information received during the consultations conducted by SCTA that could be considered in making an additional adjustment to the adjusted figure of 3.4%.

SCTA is not independently aware of any disparity studies conducted within its service area or relevant information supporting a conclusion there are statistical disparities in the ability of DBEs to get the financing, bonding and insurance.

SCTA's solicitor conducted a search for any lawsuits filed at the state level regarding discrimination in public contracting in SCTA's market area, or lawsuits regarding discrimination in granting access to credit, bonding or insurance to women or minority owned businesses in the SCTA region for the last three years. The only lawsuit the solicitor identified was a decision by the Commonwealth Court in January 2021 upholding a decision by the Port of Philadelphia to reject a construction bid as being non-responsive to the 10% DBE participation requirement. As a result, SCTA is not able to identify any other data that could help estimate the percentage of work DBEs would likely obtain in the absence of discrimination and document the basis for an additional adjustment to the adjusted figure of 3.4%.

6. **Race/Gender-Neutral and Race/Gender-Conscious Goal.**

The SCTA anticipates meeting the 3.4% goal as calculated in the preceding step through a combination of Race/Gender-Neutral and Race/Gender-Conscious means.

SCTA used the actual DBE attainment from the FY 2018, 2019 and 2020 DBE semi-annual reports submitted to the FTA. The Total Contracts Awarded during this period totaled \$9,213,951. The Overall DBE Achievement during this period totaled \$277,413 or 3.1%. The RN Attainment for the same period totaled \$16,968 or 0.18%. The Relative RN Attainment equals 6.1% (\$16,968 divided by \$277,413). The Absolute RN Attainment equals 0.2% (6.1% times 3.4% proposed goal). The RC split equals 3.2% (3.4% minus 0.2%).

Based on the suggested methodology for calculating the RN/RC Split, the 3.4 % goal would be achieved through 0.2% RN means and 3.2% RC means.

As its capital improvement program is advanced and DBE participation is monitored, SCTA will consider adjustments to the combination of RN and RC means used, if warranted, in order to achieve the 3.4% overall goal.

7. **Annual DBE Expenditure Goals**

SCTA's overall goal for Federal Fiscal Year 2021-2022, 2022-2023 and 2023-2023 is 3.4% of the federal financial assistance the Authority will expend on DOT-assisted contracts, exclusive of FTA funds to be used for the purchase of transit vehicles. The amount of federal assistance SCTA projects to receive and utilize in the next three fiscal years for the procurement of goods or services with

DBE contracting opportunities is \$3,192,106. Based on an overall DBE goal of 3.4%, this means SCTA anticipates \$108,532 in expenditures with eligible DBE firms in Federal Fiscal Year 2021-2022, 2022-2023 and 2023-2024. SCTA estimates this overall goal will be met through a combination of Race/Gender-Neutral (0.2%) and Race/Gender-Conscious means (3.2%).

	FFY 2022	FFY 2023	FFY 2024	Total
Annual Identified Expenditures	\$ 1,516,106	\$ 1,040,000	\$ 636,000	\$ 3,192,106
Annual DBE Goal	\$ 51,548	\$ 35,360	\$ 22,440	\$ 108,532

8. **Evidence of Public Consultation.**

During the development of SCTA's proposed DBE Goal, the agencies or organizations listed in Attachment A were contacted to determine if they may have information that will inform the development of SCTA's DBE goal based on the availability of disadvantaged and non-disadvantaged businesses and the effects of discrimination on opportunities for DBE's in Berks and Lancaster Counties and to discuss approaches and opportunities for DBEs and small businesses to participate in SCTA contracts. An introductory email was sent on the dates noted. Seven responses were received to these emails, including the scheduling of five (5) virtual meetings. In addition to this initial contact, each agency contact received a draft of the DBE Goal Calculation documentation for their review and comment. The DBE Goal Calculation documentation was also mailed to four (4) additional agencies listed on Attachment A.

During the five (5) virtual meetings, no information was provided that informed the development of SCTA's goal. The discussions focused on SCTA's procurement opportunities, how information could be shared with DBE firms on these procurement opportunities and how SCTA could connect with DBE firms. A summary of several of these conversations is provided below. No comments were received in response to the 6/16/2021 distribution of the draft DBE Goal Calculation documentation.

According to John Stetler, the focus of the Small Business Development Center (SBDC) is providing resources and educational training sessions to help develop small business, including DBE firms through the Neighborhood Assistance Program. SBDC helps a small business develop a business plan and through an intake process identify the needs a business is facing. For connecting with DBE firms and small businesses, Mr. Stetler suggested contacting the Procurement Technical Assistance Center (PTAC).

A virtual meeting was held with three PTAC staff members. PTAC assists DBE firms through the PAUCP certification process. A suggestion was offered that a link be provided to the PAUCP website on the SCTA website to encourage certification by interested DBE firms and to provide access for firms seeking information on available PAUCP DBE certified firms. The possibility of

providing copies of SCTA's procurement packages or partnering for presentations or webinars were discussed.

The Women's Business Enterprise Center—East's (WBEC) main focus is providing entrepreneurial training for women-owned businesses at start-up, for sustainability and at sunset. The training is done through workshops (pre-COVID) and webinars. WBEC-East is also one of 14 WBE certifying agencies nationwide. The certification database includes 1600 WBE firms. However, this list is only shared with Council members.

WBEC—East staff provided the number of WBE firms they currently have listed in each of the NAICS Code areas listed on page 3 in Pennsylvania, Delaware and South New Jersey. While there are a number of WBE's in each of the NAICS Code categories, no adjustment was made in the information in the DBE goal calculation documentation since many of these firms are not PAUCP-certified and PAUCP-certified WBE firms were identified as part of Step 1, "Identifying the Base Figure".

Lancaster ASSETS is an independent non-profit that supports the start-up and growth of WBE and MBE firms through providing training, providing access to lending opportunities and consulting. ASSETS maintains a Diverse & Inclusive Business Directory, especially highlighting local businesses owned by people of color and women. The firms on the list are not vetted, certified or aware of the public bidding process. SCTA would need to identify its expense categories in order to connect with firms on the list to discuss procurement opportunities.

The representative from the Greater Reading Chamber Alliance noted there is currently no listing of DBE firms in Berks County. Matchmaking opportunities are being explored in the future. If such an event is planned, SCTA will be contacted.

SCTA published its proposed DBE goal in the Lancaster "LNP News" and the Berks County "Reading Eagle". A copy of the published notice is provided in Attachment B. The Proof of Publication is provided in Attachment C. The notice identified the thirty (30) day period for submitting comments and provided a mailing address and an email address for where the comments were to be submitted. No comments were received in response to the Legal Notice.

This document was uploaded to the SCTA website on June 16, 2021 with a notice that comments or questions may be submitted to info@sctapa.com. No comments were received based on a review of the document on the website.

For consultative purposes, the draft DBE Goal calculation documentation was presented to the SCTA Board at its meeting on July 21, 2021. This meeting was advertised and the agenda for the meeting was posted on SCTA's website. No members of the public participated in the meeting to provide comments on the goal documentation. No comments were received from the members of the SCTA

Board.

The finalized goal calculation documentation will be posted on the SCTA website.

One of SCTA's future goals is to prepare a "Doing Business with SCTA" brochure and website page. This effort is being advanced in response to a past recommendation to provide clear, concise and easy-to-understand information on the SCTA website and in a brochure explaining how to do business with SCTA. The brochure will be available for distribution at business fairs SCTA attends and through agencies or organizations who work directly with DBE firms and small businesses. Work was initiated on the brochure but limited progress has been made.

ADDITIONAL OBSERVATIONS:

SCTA's commitment to the overall intent of the federal DBE Program is reflected in two additional areas. The Authority is aware of the listing of small diverse businesses available through the Pennsylvania Department of General Services (DGS). DGS verifies self-certified small businesses as Small Diverse Businesses when they hold certifications from one of seven (7) third party certification entities, including PAUCP. As a result, there may be businesses on the DGS site that are listed as Small Diverse Businesses but they have not been certified by the PAUCP. As defined by DGS, small diverse businesses may include a veteran-owned business, a service-disabled veteran-owned business or a LGBT-owned business in addition to a minority-owned or woman-owned business.

SCTA's current Solicitor is a women-owned firm; however, the firm has not sought certification under PAUCP or DGS. For the period from July 1, 2018 through May 31, 2021, a total of \$37,986.19 payments have been made to this firm.

SCTA will track for the file its commitment to and actual payments made to DGS-verified small diverse businesses or other contractors who are DBEs but are not PAUCP certified.

ATTACHMENT A

AGENCIES AND ORGANIZATIONS CONSULTED OR CONTACTED REGARDING SCTA'S DBE GOAL CALCULATION

Agency/Organization Contacted	Date and Method of Contact	Results of Contact
Lancaster Chamber	04/28/2021 Introductory Email 06/16/2021 DBE Goal Email	Referral to ASSETS Lancaster
City of Lancaster Procurement	04/28/2021 Introductory Email 05/14/2021 Introductory Email 06/16/2021 DBE Goal Email	No focused City effort on using DBE firms other than if required by funding source.
Greater Reading Chamber Alliance	04/28/2021 Introductory Email 06/16/2021 DBE Goal Email	05/27/2021 Virtual meeting
Kutztown University Small Business Development Center (SBDC)	04/28/2021 Introductory Email 06/16/2021 DBE Goal Email	Referral to PTAC. 05/20/2021 Virtual meeting.
Berks County Latino Chamber of Commerce	04/28/2021 Introductory Email 06/16/2021 DBE Goal Email	No response received
Spanish American Civic Association (Lancaster)	04/28/2021 Introductory Email 06/16/2021 DBE Goal Email	No response received
BASE, Inc.	04/28/2021 Introductory Email 06/16/2021 DBE Goal Email	No response received
Women's Business Enterprise Center-- East	04/28/2021 Introductory Email 06/16/2021 DBE Goal Email	06/04/2021 Virtual Meeting. Three follow-up information emails.
ASSETS Lancaster	05/12/2021 Email 06/16/2021 DBE Goal Email	05/21/2021 Virtual Meeting.
Procurement Technical Assistance Center (PTAC)	05/14/2021 Telephone Call based on SBDC referral	05/19/2021 Virtual Meeting.
Service Corps of Retired Executives (SCORE)(Lancaster)	06/16/2021 Mailing	No response received
PA Department of Community and Economic Development Small Business Champions Office	06/16/2021 Mailing	No response received
Associated Builders and Contractors, Inc.—Keystone Chapter	06/16/2021 Mailing	No response received
Service Corps of Retired Executives (SCORE)(Berks)	06/16/2021 Mailing	No response received

ATTACHMENT B
DISADVANTAGED BUSINESS ENTERPRISE GOAL
SOUTH CENTRAL TRANSIT AUTHORITY
PUBLIC NOTICE

The South Central Transit Authority (SCTA) has a Disadvantaged Business Enterprise (DBE) Program established under the guidelines of 49 CFR Part 26 and the regulations of the U.S. Department of Transportation (DOT). As provided for under 49 CFR Part 26, SCTA announces the establishment of a proposed FFY 2022, 2023 and 2024 DBE Goal of 3.4% for the purchase of goods and services utilizing any DOT funds. This goal has been set based on information currently available to SCTA.

SCTA's DBE Program and the rationale and supporting information for its FY 2022, 2023 and 2024 DBE Goal of 3.4% are available for public inspection at the offices of SCTA at 45 Erick Road, Lancaster, PA 17601 or at 1700 North 11th Street, Reading, PA 19604 during normal business hours Monday through Friday, 8:00AM to 5:00PM or on the SCTA website at <http://www.sctapa.com/business-center>. SCTA will accept comments on the DBE goal for 30 days from the date this notice is published. Comments may be addressed to: SCTA, Attention: Jeffrey H. Glisson, 45 Erick Road, Lancaster, PA or emailed to info@sctapa.com.

David W. Kilmer
Executive Director
South Central Transit Authority

ATTACHMENT C
PROOF OF PUBLICATION

4364570

PROOF OF PUBLICATION NOTICE IN

State of Pennsylvania}

} ss:

County of Lancaster}

An Affiant of the County and State aforesaid, being duly sworn, deposes and says that the LNP, a newspaper of general circulation published at Lancaster, County and State aforesaid, was established 1741-1847 since which date said newspaper has been regularly issued in said county, and that a true and correct copy of the printed notice or publication is attached hereto as was printed and published in the regular editions and issues of said newspaper on the following dates: 06/17/21

Affiant further deposes that he/she is the Clerk duly authorized by the LNP Media Group, Inc., a corporation, publisher of said LNP, a newspaper of general circulation, to verify the foregoing statement under oath, and also declares that affiant is not interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as to time, place and character of publication are true.



Affiant's Signature



Notary Public

Commonwealth of Pennsylvania - Notary Seal
Jeffrey J. Hollinger, Notary Public
Lancaster County
My commission expires June 10, 2025
Commission number 1073492

Member, Pennsylvania Association of Notaries

**DISADVANTAGED
BUSINESS
ENTERPRISE GOAL
SOUTH CENTRAL
TRANSIT AUTHORITY
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David W. Kilmer
Executive Director
South Central Transit
Authority

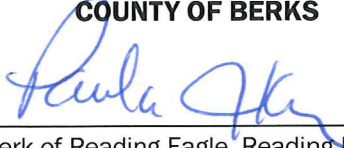
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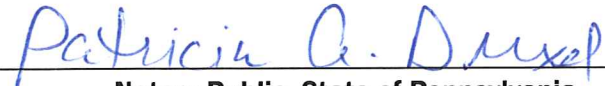
STATE OF PENNSYLVANIA,
COUNTY OF BERKS

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**DISADVANTAGED BUSINESS
ENTERPRISE GOAL
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PUBLIC NOTICE**

The South Central Transit Authority (SCTA) has a Disadvantaged Business Enterprise (DBE) Program established under the guidelines of 49 CFR Part 26 and the regulations of the U.S. Department of Transportation (DOT). As provided for under 49 CFR Part 26, SCTA announces the establishment of a proposed FFY 2022, 2023 and 2024 DBE Goal of 3.4% for the purchase of goods and services utilizing any DOT funds. This goal has been set based on information currently available to SCTA.

SCTA's DBE Program and the rationale and supporting information for its FY 2022, 2023 and 2024 DBE Goal of 3.4% are available for public inspection at the offices of SCTA at 45 Erick Road, Lancaster, PA 17601 or at 1700 North 11th Street, Reading, PA 19604 during normal business hours Monday through Friday, 8:00AM to 5:00PM or on the SCTA website at <http://www.sctapa.com/business-center>. SCTA will accept comments on the DBE goal for 30 days from the date this notice is published. Comments may be addressed to: SCTA, Attention: Jeffrey H. Glisson, 45 Erick Road, Lancaster, PA or emailed to info@sctapa.com.

David W. Kilmer
South Central
Transit Authority
RE June 17 A-1

FIGURE 1
DBE 2018 GOAL CALCULATION WEIGHTING WORKSHEET

Step 1 - Determine the weight of each type of work by NAICS Code:

* Enter all the FTA-assisted projects below. Project amounts should be assigned relevant NAICS Code(s).

	NAICS Code	Project	Amount of DOT funds on project:	% of total DOT funds (weight)
1)	236220	Shared Ride Operations Center Construction Management Services	\$640,000.00	0.2005
2)	237120	Diesel Fuel Tank Replacement Construction	\$720,000.00	0.2256
3)	237310	Lancaster Operations Center Security Upgrade (Stream Crossing)	\$80,000.00	0.0251
4)	238110	Bus Shelter Installation	\$104,000.00	0.0326
5)	238140	Franklin Street Station Improvements (Stone Repointing)	\$176,000.00	0.0551
6)	238210	Replace Bus Radio Communications Equipment Purchase/Install	\$132,000.00	0.0414
7)	238290	Replace Bus Wash	\$200,000.00	0.0627
8)	238350	Franklin Street Station Improvements (Door Replacement)	\$24,000.00	0.0075
9)	238990	Lancaster Operations Center Security Gate Replacement and Bus Staging Area Paving	\$680,000.00	0.2130
10)	541320	Transit Development Plan Update	\$400,000.00	0.1253
11)	541511	ITS Computer Software Upgrade	\$36,106.00	0.0113
12)				
13)				
14)				0.0000
15)				0.0000
16)				0.0000
17)				0.0000
18)				0.0000
19)				0.0000
20)				0.0000
21)				0.0000
22)				0.0000
23)				0.0000
24)				0.0000
25)				0.0000
26)				0.0000
27)				0.0000
28)				0.0000
29)				0.0000
30)				0.0000
31)				0.0000
32)				0.0000
33)				0.0000
34)				0.0000
35)				0.0000
Total FTA-Assisted Contract Funds			\$3,192,106.00	1

Step 2 - Determine the relative availability of DBE's by NAICS Code:

* Use DBE Directory, census data and/or a bidders list to enter the number of available DBE firms and the number of available firms.

	NAICS Code	Project	Number of DBEs available to perform this work	Number of all firms available (including DBEs)	Relative Availability
1)	236220	Shared Ride Operations Center Construction Management Services	0	561	0.0000
2)	237120	Diesel Fuel Tank Replacement Construction	1	6	0.1667
3)	237310	Lancaster Operations Center Security Upgrade (Stream Crossing)	0	111	0.0000
4)	238110	Bus Shelter Installation	11	219	0.0502
5)	238140	Franklin Street Station Improvements (Stone Repointing)	7	401	0.0175
6)	238210	Replace Bus Radio Communications Equipment Purchase/Install	3	903	0.0033
7)	238290	Replace Bus Wash	0	105	0.0000
8)	238350	Franklin Street Station Improvements (Door Replacement)	0	413	0.0000
9)	238990	Lancaster Operations Center Security Gate Replacement and Bus Staging Area Paving	10	450	0.0222
10)	541320	Transit Development Plan Update	1	18	0.0556
11)	541511	ITS Computer Software Upgrade	38	968	0.0393
12)					
13)					
14)					
15)					
16)					
17)					
18)					
19)					
20)					

21)					
22)					
23)					
24)					
25)					
26)					
27)					
28)					
29)					
30)					
31)					
32)					
33)					
34)					
35)					
	Combined Totals		71	4155	0.0171
					Overall availability of DBEs

Step 3 - (Weight) x (Availability) = Weighted Base Figure

	NAICS Code	Project	Weight	x	Availability	Weighted Base Figure
1)	236220	Shared Ride Operations Center Construction Management Services	0.20049	x		
2)	237120	Diesel Fuel Tank Replacement Construction	0.22556	x	0.16667	0.0376
3)	237310	Lancaster Operations Center Security Upgrade (Stream Crossing)	0.02506	x		
4)	238110	Bus Shelter Installation	0.03258	x	0.05023	0.0016
5)	238140	Franklin Street Station Improvements (Stone Repointing)	0.05514	x	0.01746	0.0010
6)	238210	Replace Bus Radio Communications Equipment Purchase/Install	0.04135	x	0.00332	0.0001
7)	238290	Replace Bus Wash	0.06265	x		
8)	238350	Franklin Street Station Improvements (Door Replacement)	0.00752	x		
9)	238990	Lanaster Operations Center Security Gate Replacement and Bus Staging	0.21303	x	0.02222	0.0047
10)	541320	Transit Development Plan Update	0.12531	x	0.05556	0.0070
11)	541511	ITS Computer Software Upgrade	0.01131	x	0.03926	0.0004
12)				x		
13)				x		
14)				x		
15)				x		
16)				x		
17)				x		
18)				x		
19)				x		
20)				x		
21)				x		
22)				x		
23)				x		
24)				x		
25)				x		
26)				x		
27)				x		
28)				x		
29)				x		
30)				x		
31)				x		
32)				x		
33)				x		
34)				x		
35)				x		
					Total	0.0525
					Expressed as a % (*100)	5.25%
					Rounded, Weighted Base Figure:	5%